#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	10/001006
Application Serial No.	10/804,926
Confirmation No.	
Filing Date	March 19, 2004
First Named Inventor	
Group Art Unit	2877
Examiner	
Attorney's Docket No.	
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Title: REFLECTANCE SURFACE ANALYZER

# RESPONSE TO OFFICE COMMUNICATION MAILED

## **OCTOBER 5, 2006**

To: Mail Stop AF

Commissioner for Patents

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## INTRODUCTORY COMMENTS

This communication is in response to the Office Action mailed October 5,

2006. Please amend the above-identified application as set forth below.

### AMENDMENTS

#### In the Claims

Please amend claims 11 and 18, and cancel claim 17 as set forth below.

 (Original) An optical measuring system for evaluating a substrate, the system comprising

a laser configured to generate an intensity stabilized light beam;

an optical system for directing the beam to a spot on a substrate being evaluated:

drive mechanism to rotate the substrate:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates;

a detection system configured to receive and detect light reflected from the substrate;

said detection system including polarizers to convert the reflected beam to s and p polarized light and detectors to read the information content of the s and p polarized reflected beams; and,

an elliptical cavity positioned adjacent to the point of impingement by the beam onto the substrate to capture the scattered light from the substrate and to detect the information incorporated into the detected scattered light to provide surface information of the substrate under evaluation.

- (Original) An optical measuring system in accordance with claim
  in which a focal point of said elliptical cavity is positioned substantially at the surface being examined.
- (Original) An optical measuring system in accordance with claim
  in which a detector is positioned substantially at a focal point of said elliptical cavity.
- (Original) The optical measuring system of claim 1 in which the laser generates an intensity stabilized wavelength of approximately 532 nm.
- 5. (Original) The optical measuring system of claim 1 in which said detection system includes a beam splitter to split the reflected beam into two paths and to convert the second split beam into s and p polarized beams.
- 6. (Original) The optical measuring system of claim 1 in which said elliptical cavity has internal reflecting surfaces to effectively detect substantially all the scattered light of the optical measuring system entering the elliptical cavity.
- 7. (Original) The optical measuring system of claim 1 in which said elliptical cavity is positioned with a focal point substantially at the surface under examination and the other focal point of said cavity substantially at the detector.

- (Original) The optical measuring system of claim 4 in which said elliptical cavity is positioned with a focal point substantially at the surface under examination and the other focal point at the detector for said cavity.
- (Original) An optical measuring system for evaluating a substrate, the system comprising

a laser configured to generate an intensity stabilized light beam in a wavelength of above about 400 nm:

an optical system for directing the beam to a particular spot on a substrate being evaluated;

drive mechanism to rotate the substrate:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates:

a detection system configured to receive and detect light reflected from the substrate:

said detection system including polarizers to convert the reflected beam to s and p polarized light and detectors to read the information content of the s and p polarized reflected beams; and,

an elliptical cavity positioned adjacent to the point of impingement by the beam onto the substrate to capture the scattered light from the substrate and to detect the information incorporated into the detected scattered light to provide surface information of the substrate under evaluation.

(Original) An optical measuring system in accordance with claim
 in which said intensity stabilized light is at 532 nm.

- 11. (Currently Amended) An elliptical cavity <u>assembly</u> for the detection of surface scattered light in a surface reflectance analyzer, comprising a truncated elliptical cavity to be positioned with the surface under examination substantially at one focal point of the cavity and a detector at the other focal point of the cavity, mirror like walls internally along the inner surfaces of the cavity, and a quarter wave plate at a tilting angle that permits tilting of the wave plate with respect to a beam axis.
- 12. (Previously Amended) An optical measuring system for evaluating a substrate, the system comprising

a laser configured to generate an intensity stabilized light beam in a wavelength of above about 400 nm;

an optical system for directing the beam to a particular spot on a substrate being evaluated;

drive mechanism to rotate the substrate:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates;

a detection system configured to receive and detect light reflected from the substrate:

said detection system including position sensitive photo-detectors to read information concerning the substrate from the substrate under evaluation; and

a quarter wave plate at a tilting angle that permits tilting of the wave plate with respect to an axis of the beam.

13. (Previously Amended) An optical measuring system for evaluating a substrate, the system comprising

a laser configured to generate an intensity stabilized light beam in a wavelength of above about 400 nm;

an optical system for directing the beam to a particular spot on a substrate being evaluated:

drive mechanism to rotate the substrate:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates:

a detection system configured to receive and detect light reflected from the substrate:

said detection system including quadrant photo-diodes to read information concerning the substrate from the substrate under evaluation including the directionality of the reflected light; and

a quarter wave plate at a tilting angle that permits tilting of the wave plate with respect to an axis of the beam.

- (Original) An optical system in accordance with claim 9 in which the detection system includes one or more position sensitive photo-detectors.
- 15. (Original) An optical system in accordance with claim 1 in which one or more of the detectors comprises a position sensitive photo-detector.
- (Original) The optical system of claim 15 in which said photodetectors comprise quadrant photo-diodes.
  - 17. (Cancelled)

18. (Currently Amended) <u>An optical measuring system for</u> evaluating a substrate, the system comprising:

a laser configured to generate an intensity stabilized light beam; an optical system for directing the beam to a spot on a substrate being

drive mechanism to rotate the substrate:

evaluated:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates:

a detection system configured to receive and detect light reflected from the substrate:

said detection system including polarizers to convert the reflected beam to s and p polarized light and detectors to read the information content of the s and p polarized reflected beams;

compensators in the optical path to compensate for unwanted phase shifts between the s and p beams introduced by other optical components; and

The optical measuring system of claim 17 including an elliptical cavity positioned adjacent to the point of impingement by the beam onto the substrate to capture the scattered light from the substrate and to detect the information incorporated into the detected scattered light to provide surface information of the substrate under evaluation.

19. (Previously Amended) An optical measuring system for evaluating a substrate, the system comprising

a laser configured to generate an intensity stabilized light beam; an optical system for directing the beam to a spot on a substrate being evaluated: drive mechanism to rotate the substrate:

track and support structures to bring about relative motion of the light beam relative to the surface of the substrate as the substrate rotates;

a detection system configured to receive and detect light reflected from the substrate:

said detection system including polarizers to convert the reflected beam to s and p polarized light and detectors to read the information content of the s and p polarized reflected beams; and

a quarter wave plate at an angle of approximately 45 degrees with respect to the p-polarization axis on a tilting plate that permits tilting of the wave-plate with respect to the beam axis.

20. (Previously Amended) The optical measuring system of claim 19 including an elliptical cavity positioned adjacent to the point of impingement by the beam onto the substrate to capture the scattered light from the substrate and to detect the information incorporated into the detected scattered light to provide surface information of the substrate under evaluation.

#### REMARKS

Applicant respectfully requests reconsideration of this application in view of the following remarks and the above amendments. This response is believed to fully address all issues raised in the Final Office Action mailed September 8, 2006, and the Advisory Action mailed 10/5/06. Furthermore, no new matter is believed to have been introduced hereby.

Claims 11 and 18 have been amended. Claim 17 has been cancelled without prejudice. As a result, claims 1-16 and 18-20 remain pending.

### Allowable Subject Matter

The outstanding Office Action indicates that claims 1-16, 19, and 20 are allowed. Claim 18 is indicated as allowable if rewritten in independent form including the recitations of the base claim and any intervening claims. Claim 18 has been amended accordingly. Accordingly, it is respectfully submitted that all pending claims 1-16 and 18-20 are in condition for allowance.

## Claim Objections

The outstanding Office Action objects to claims 11 and 17. In particular, claims 11 and 17 have been objected to for improper recitations. Claim 17 has been cancelled without prejudice and claim 11 has been amended as detailed above and, as a result, these objections are now moot.

## Conclusion

Reconsideration and allowance of all claims is respectfully requested. The Examiner is urged to telephone the undersigned if that would expedite prosecution of the application.

> Respectfully Submitted, Khazeni et al. By His Representatives, Caven & Aghevli LLC

Dated: October 13, 2006

By: Ramin Agherli

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